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Research Article

A Study on the Chain Ratio-Type Estimator of Finite Population Variance

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We suggest an estimator using two auxiliary variables for the estimation of the unknown population variance. The bias and the mean square error of the proposed estimator are obtained to the first order of approximations. In addition, the problem is extended to two-phase sampling scheme. After theoretical comparisons, as an illustration, a numerical comparison is carried out to examine the performance of the suggested estimator with several estimators.

1. Introduction

Variations are present everywhere in our daily life. It is the law of nature that no two things or individuals are exactly alike. For instance, a physician needs a full understanding of variations in the degree of human blood pressure, body temperature, and pulse rate for adequate prescription. A manufacturer needs constant knowledge of the level of variations in people's reaction to his product to be able to know whether to reduce or increase his price or improve the quality of his product. An agriculturist needs an adequate understanding of the variations in climatic factors especially from place to place (or time to time) to be able to plan on when, how, and where to plant his crop.

It is well known that the use of auxiliary information in sample survey designs results in efficient estimators of population parameters, such as variance, under some realistic conditions. For example, when information is available on the auxiliary variable that is positively correlated with the study variable, the ratio estimator is a suitable estimator for the estimation of the population variance.

Let P be a finite population consisting of N units, P_1, P_2, \ldots, P_N . The units of this finite population are identifiable in the sense that they are uniquely labeled from 1 to N and the label on each unit is known. Let y be the character under study taking the value y_i on the units P_i ($i = 1, 2, \ldots, N$)

and assume a sample of size *n* is drawn by the simple random sampling without replacement (SRSWOR).

Suppose in a survey problem that we are interested in estimating the population variance, S_y^2 . Isaki [1] presented the ratio estimator for the population variance using the auxiliary information. The problem of estimating the population variance using information on single auxiliary variable has also been discussed by various authors including Prasad and Singh [2, 3], Biradar and Singh [4], Rueda Garcia and Arcos Cebrian [5], Arcos et al. [6], Kadilar and Cingi [7], and Singh et al. [8].

The mean square error (MSE) of the classical estimator of the population variance, S_y^2 , which we denote as t_0 , is $V(t_0) = S_y^4 A_0$. Quite often, information on many auxiliary variables is available in the survey which can be utilized to increase the precision of the estimate. The ratio estimator of population variance for a single auxiliary variable denoted as t_1 suggested by Isaki [1] and the two-phase sampling (TPS) estimator of t_1 denoted as t_1^* are as follows:

$$t_1 = s_y^2 \frac{S_{x1}^2}{s_{x1}^2},$$

$$MSE(t_1) = S_y^4 (A_0 + A_1 - 2A_3),$$

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$$t_{1}^{*} = s_{y}^{2} \frac{s_{x_{1}}^{*2}}{s_{x_{1}}^{2}},$$

$$MSE(t_{1}^{*}) = MSE(t_{1}) - S_{y}^{4} (A_{1}^{*} - 2A_{3}^{*}).$$
(1)

Following Olkin [9], Isaki [1] also presented the ratio estimator of variance using two auxiliary variables as follows:

$$t_{2} = W_{1} \frac{s_{y}^{2}}{s_{x1}^{2}} S_{x1}^{2} + W_{2} \frac{s_{y}^{2}}{s_{x1}^{2}} S_{x2}^{2},$$

$$MSE(t_{2}) = S_{y}^{4} \left(C_{1} + W_{1}^{2} C_{2} - 2W_{1} C_{3} \right),$$

$$t_{2}^{*} = M_{1} \frac{s_{y}^{2}}{s_{x1}^{2}} s_{x1}^{*2} + M_{2} \frac{s_{y}^{2}}{s_{x2}^{2}} s_{x2}^{*2} \quad \text{(TPS approach of } t_{2}),$$

$$MSE(t_{2}^{*}) = S_{y}^{4} \left(D_{1} + M_{1}^{2} D_{2} - 2M_{1} D_{3} \right),$$

where W_i and M_i , for i = 1, 2, are weights chosen to minimize the MSE of t_2 and t_2^* . Further, $\sum W_i = 1$ and $\sum M_i = 1$, where

$$s_{x1}^{2} = \frac{1}{n} \sum_{i=1}^{n} \left(x_{1i} - \overline{X}_{1} \right)^{2}, \qquad s_{x2}^{*2} = \frac{1}{n} \sum_{i=1}^{n} \left(x_{2i} - \overline{X}_{2} \right)^{2},$$

$$s_{x1}^{*2} = \frac{1}{n'} \sum_{i=1}^{n'} \left(x_{1i} - \overline{x}_{1}' \right)^{2}, \qquad s_{x2}^{*2} = \frac{1}{n'} \sum_{i=1}^{n'} \left(x_{2i} - \overline{x}_{2}' \right)^{2},$$

$$\overline{x}_{1}' = \frac{1}{n'} \sum_{i=1}^{n'} x_{1i}, \qquad \overline{x}_{2}' = \frac{1}{n'} \sum_{i=1}^{n'} x_{2i},$$

$$C_{1} = A_{0} + A_{2} - 2A_{4}, \qquad C_{1}^{*} = A_{2}^{*} - 2A_{4}^{*},$$

$$D_{1} = C_{1} - C_{1}^{*}, \qquad C_{2} = A_{1} + A_{2} - 2A_{5},$$

$$C_{2}^{*} = A_{1}^{*} + A_{2}^{*} - 2A_{5}^{*}, \qquad D_{2} = C_{2} - C_{2}^{*},$$

$$C_{3} = A_{2} + A_{3} - A_{4} - A_{5}, \qquad C_{3}^{*} = A_{2}^{*} + A_{3}^{*} - A_{4}^{*} - A_{5}^{*},$$

$$D_{3} = C_{3} - C_{3}^{*},$$

$$A_{0} = \frac{1}{n} \left(\lambda_{400} - 1 \right), \qquad A_{1} = \frac{1}{n} \left(\lambda_{040} - 1 \right),$$

$$A_{2} = \frac{1}{n} \left(\lambda_{004} - 1 \right), \qquad A_{3} = \frac{1}{n} \left(\lambda_{022} - 1 \right),$$

$$A_{1}^{*} = \frac{1}{n'} \left(\lambda_{040} - 1 \right), \qquad A_{2}^{*} = \frac{1}{n'} \left(\lambda_{004} - 1 \right),$$

$$A_{3}^{*} = \frac{1}{n'} \left(\lambda_{020} - 1 \right), \qquad A_{4}^{*} = \frac{1}{n'} \left(\lambda_{004} - 1 \right),$$

$$A_{5}^{*} = \frac{1}{n'} \left(\lambda_{022} - 1 \right),$$

$$A_{5}^{*} = \frac{1}{n'} \left(\lambda_{022} - 1 \right),$$

$$A_{5}^{*} = \frac{1}{n'} \left(\lambda_{022} - 1 \right),$$

$$\lambda = \frac{\mu_{abc}}{\mu_{200}^{a/2} \mu_{020}^{b/2} \mu_{002}^{c/2}},$$

$$\mu_{abc} = \frac{1}{N-1} \sum_{i=1}^{N} (y_i - \overline{Y})^a (x_{1i} - \overline{X}_1)^b (x_{2i} - \overline{X}_2)^c,$$
(3)

where *a*, *b*, and *c* are nonnegative integers.

Several authors (Srivastava et al. [10], Upadhyaya et al. [11], and Singh et al. [12]) adopted TPS procedure proposed by Chand [13] and have suggested some chain ratio-type estimators for estimating population mean \overline{Y} of y. In the same vein, Gupta et al. [14] and Singh et al. [8] proposed the following classes of estimators under the assumption that the population variance of the first auxiliary variable S_{x1}^2 is not known, but the population variance of another auxiliary variable X_2 closely related to X_1 is available. The MSEs of the estimators suggested by Gupta et al. [14] and Singh et al. [8] are, respectively, given by

$$\begin{split} t_3 &= s_y^2 \bigg(\frac{s_{x1}^2}{s_{x1}^{*2}}\bigg)^{I_1} \bigg(\frac{s_{x2}^{*2}}{S_{x2}^2}\bigg)^{I_2},\\ \text{MSE}_{\min}\left(t_3\right) &= s_y^4 \left[A_0 - \phi\left(\frac{A_3^2}{A_1}\right) - \left(\frac{A_4^2}{n'A_2}\right)\right],\\ t_4 &= s_y^2 \bigg(\frac{s_{x1}^2}{s_{x1}^{*2}}\bigg)^{I_1} \bigg(\frac{s_{x2}^{*2}}{S_{x2}^2}\bigg)^{I_2} \bigg(\frac{s_{x2}^2}{S_{x2}^2}\bigg)^{I_3},\\ \text{MSE}_{\min}\left(t_4\right) &= s_y^4 A_0 \left[1 - \delta \gamma_{0.12}^{*2} - \theta \rho^{*2}\right], \end{split}$$

where I_1 , I_2 , and J_i for i=1,2,3 are constants chosen to minimize the MSE of t_3 and t_4 ; $\phi=(1/n-1/n')$; $\theta=n/n'$; $\delta=((n'-n)/n')$; $\gamma_{0.12}^{*2}=(A_2A_3^2-2A_3A_4A_5+A_1A_4^2)/A_0(A_1A_2-A_5^2)$; $\rho^{*2}=A_4^2/A_0A_2$.

In most studies, several variables are considered simultaneously either to explain or estimate (predict) the study variable. In most cases, information on several auxiliary variables closely related to the study variable may be easily obtained on all units in the population. For example, while conducting an educational survey, the investigator may be interested in studying characteristics such as age, gender, hours spent on studying per day, sitting position, parent's educational level, parent's income, relationship with lectures and access to facilities (e.g., library, internet, laboratory), among others. With the main aim of suggesting a more efficient estimator, we propose in this paper, under SRSWOR, a chain ratio-type estimator for estimating the population variance when information on two auxiliary variables is available. In addition, the problem is extended to the case of TPS.

2. The Suggested Estimator

Following Abu-Dayyeh et al. [15], we define an estimator for estimating the population variance, S_{ν}^{2} , as follows:

$$t = s_y^2 \left(\frac{S_{x1}^2}{s_{x1}^2}\right)^{\alpha_1} \left(\frac{S_{x2}^2}{s_{x2}^2}\right)^{\alpha_2},\tag{5}$$

where α_1 and α_2 are real constants to be determined such that the MSE of t is minimum.

To determine the bias and MSE of t, we define

$$s_y^2 = S_y^2 (1 + k_0);$$
 $s_{x1}^2 = S_{x1}^2 (1 + k_1);$ $s_{x2}^2 = S_{x2}^2 (1 + k_2),$ (6)

such that

$$E(k_0) = E(k_1) = E(k_2) = 0,$$

$$E(k_0^2) = A_0, E(k_1^2) = A_1,$$

$$E(k_2^2) = A_2, E(k_0 k_1) = A_3,$$

$$E(k_0 k_2) = A_4, E(k_1 k_2) = A_5,$$
(7)

Now, expressing t in terms of k's, we have

$$t = S_y^2 (1 + k_0) (1 + k_1)^{-\alpha_1} (1 + k_2)^{-\alpha_2}$$

$$= S_y^2 (1 + k_0) \left(1 - \alpha_1 k_1 + \frac{\alpha_1 (\alpha_1 + 1)}{2} k_1^2 \right)$$

$$\times \left(1 - \alpha_2 k_2 + \frac{\alpha_2 (\alpha_2 + 1)}{2} k_2^2 \right).$$
(8)

We assume that $|k_1| < 1$ and $|k_2| < 1$ so that $(1 + k_1)^{-1}$ and $(1 + k_2)^{-1}$ are expandable in terms of k's. By expanding the right hand side of (8), multiplying, and neglecting terms involving power of k's greater than two, we have

$$t - S_y^2 = S_y^2 \left(k_0 - \alpha_1 k_1 - \alpha_2 k_2 + \alpha_1 \alpha_2 k_1 k_2 - \alpha_1 k_0 k_1 - \alpha_2 k_0 k_2 + \frac{\alpha_1 (\alpha_1 + 1)}{2} k_1^2 + \frac{\alpha_2 (\alpha_2 + 1)}{2} k_2^2 \right).$$
(9)

Taking expectations on both sides of (9), we get the bias of t, to the first degree of approximation, as

$$B(t) = S_y^2 \left(\frac{\alpha_1^2}{2} A_1 + \frac{\alpha_2^2}{2} A_2 + \alpha_1 \alpha_2 A_5 - \alpha_1 A_3 - \alpha_2 A_4 \right). \tag{10}$$

Squaring both sides of (9) and neglecting terms of k's involving power greater than two, we have

$$(t - S_y^2)^2 = S_y^4 (k_0^2 + 2\alpha_1 \alpha_2 k_1 k_2 - 2\alpha_1 k_0 k_1 - 2\alpha_2 k_0 k_2 + \alpha_1^2 k_1^2 + \alpha_2^2 k_2^2).$$
 (11)

Taking expectations on both sides of (11), we get the MSE of t, to the first order of approximation, as

MSE
$$(t) = S_y^4 \left(A_0 + \alpha_1^2 A_1 + \alpha_2^2 A_2 - 2\alpha_1 A_3 - 2\alpha_2 A_4 + 2\alpha_1 \alpha_2 A_5 \right).$$
 (12)

The optimal values of α_1 and α_2 in (12) could be obtained by differentiating (12) with respect to α_1 and α_2 and equalizing to zero. After a little algebraic simplification, we have

$$\alpha_1^* = \frac{A_2 A_3 - A_4 A_5}{A_1 A_2 - A_5^2}, \qquad \alpha_2^* = \frac{A_1 A_4 - A_3 A_5}{A_1 A_2 - A_5^2}.$$
 (13)

We can obtain the minimum MSE of t by simply substituting the optimal equations of α_1 and α_2 in (12).

3. Suggested Estimator in TPS

In certain practical situations, when S_x^2 is not also known, the technique of TPS sometimes referred to as double sampling is used. This scheme requires the collection of information on x_1 and x_2 in the first phase sample s' of size n' (n' < N) and on y for the second phase sample s of size n (n < n'). The estimator t^* in TPS will take the following form:

$$t^* = s_y^2 \left(\frac{s_{x1}^{*2}}{s_{x1}^2}\right)^{\alpha_3} \left(\frac{s_{x2}^{*2}}{s_{x2}^2}\right)^{\alpha_4}.$$
 (14)

To obtain the bias and MSE of t^* , we write

$$s_{y}^{2} = S_{y}^{2} (1 + k_{0}), s_{x1}^{2} = S_{x1}^{2} (1 + k_{1}),$$

$$s_{x1}^{*2} = S_{x1}^{2} (1 + k_{1}^{*}), s_{x2}^{2} = S_{x2}^{2} (1 + k_{2}), (15)$$

$$s_{x2}^{*2} = S_{x2}^{2} (1 + k_{2}^{*}),$$

Note that

$$E(k_1^*) = E(k_2^*) = 0, E(k_1^{*2}) = A_1^*,$$

$$E(k_2^{*2}) = A_2^*, E(k_1 k_1^*) = A_1^*,$$

$$E(k_2 k_2^*) = A_2^*, E(k_0 k_1^*) = A_3^*,$$

$$E(k_0 k_2^*) = \frac{1}{n'} (\lambda_{202} - 1) = A_4^*,$$
(16)

$$E(k_1k_1^*) = E(k_2k_1^*) = E(k_1^*k_2^*) = A_5^*.$$

Expressing t^* in terms of k's and following the procedure explained in Section 2, we get the bias and MSE of the estimator, t^* , respectively, as

$$B(t^*) = S_y^2 \left(\frac{\alpha_3(\alpha_3 + 1)}{2} E_3 + \frac{\alpha_4(\alpha_4 + 1)}{2} E_4 + \alpha_3 \alpha_4 E_5 - \alpha_3 E_1 - \alpha_4 E_2\right),$$

$$MSE(t^*) = MSE(t) - S_y^4 \left(\alpha_3^2 A_1^* + \alpha_4^2 A_2^* + 2\alpha_3 \alpha_4 A_5^* + 2\alpha_3 A_3^* + 2\alpha_4 A_4^*\right),$$

$$(17)$$

where

$$E_1 = A_3 - A_3^*,$$
 $E_2 = A_4 - A_4^*,$ $E_3 = A_1 - A_1^*,$ $E_4 = A_2 - A_2^*,$ (18) $E_5 = A_5 - A_5^*.$

Minimization of (17), with respect to α_3 and α_4 , yields their optimum values as

$$\alpha_3^* = \frac{E_1 E_4 - E_2 E_5}{E_3 E_4 - E_5^2}, \qquad \alpha_4^* = \frac{E_2 E_3 - E_1 E_5}{E_3 E_4 - E_5^2}.$$
 (19)

Substitution of α_3^* and α_4^* in (17) gives the minimum value of the MSE of t^* .

4. Efficiency Comparisons

In this section, we considered the theoretical comparisons of the performances of the suggested estimators $(t \text{ and } t^*)$ with respect to the traditional estimator (t_0) , Isaki [1] ratio estimators t_1 , t_1^* , t_2 , and t_2^* (for single and double auxiliary variables), Gupta et al. [14] estimator, (t_3) and Singh et al. [8] estimator (t_4) which are investigated. We have the following conditions:

(i)
$$MSE(t) - MSE(t_0) < 0 \Longrightarrow H_1 < 0$$
,

(ii)
$$MSE(t) - MSE(t_1) < 0 \Longrightarrow H_2 < 0$$
,

(iii) MSE
$$(t)$$
 – MSE (t_2) < $0 \Longrightarrow H_3 + H_4 < 0$,

(iv)
$$MSE(t) - MSE(t_3) < 0 \Longrightarrow H_5 < H_6$$
,

(v)
$$MSE(t) - MSE(t_4) < 0 \Longrightarrow H_5 < H_7,$$
 (20)

(vi)
$$MSE(t^*) - MSE(t_1^*) < 0$$

 $\implies MSE(t) - MSE(t_1) < S_v^4 H_8$

(vii)
$$MSE(t^*) - MSE(t_2^*) < 0$$

 $\implies MSE(t) - MSE(t_2) < S_v^4 H_9,$

where

$$H_{1} = \alpha_{1}^{2} A_{1} + \alpha_{2}^{2} A_{2} + 2\alpha_{1} \alpha_{2} A_{5} - 2\alpha_{1} A_{3} - 2\alpha_{2} A_{4},$$

$$H_{2} = (\alpha_{1}^{2} - 1) A_{1} + \alpha_{2}^{2} A_{2} - 2 (\alpha_{1} - 1) A_{3}$$

$$- 2\alpha_{2} A_{4} + 2\alpha_{1} \alpha_{2} A_{5},$$

$$H_{3} = (\alpha_{1} - W_{1}) [(\alpha_{1} + W_{1}) A_{1} - 2A_{3}]$$

$$+ (\alpha_{2} + W_{1}) [(\alpha_{2} - W_{1}) A_{2} - 2A_{4}] + 2A_{4},$$

$$H_{4} = 2 (\alpha_{1} \alpha_{2} + W_{1}^{2} - W_{1}) A_{5} - (1 - 2W_{1}) A_{2},$$

$$H_{5} = \alpha_{1}^{*2} A_{1} + \alpha_{2}^{*2} A_{2} - 2\alpha_{1}^{*} A_{3} - 2\alpha_{2}^{*} A_{4} + 2\alpha_{1}^{*} \alpha_{2}^{*} A_{5},$$

$$H_{6} = \phi \left(\frac{A_{3}^{2}}{A_{1}}\right) - \left(\frac{A_{4}^{2}}{n' A_{2}}\right),$$

$$H_{7} = \delta \gamma_{0.12}^{*2} - \theta \rho^{*2},$$

$$H_{8} = (\alpha_{3}^{2} - 1) A_{1}^{*} + \alpha_{4}^{2} A_{2}^{*}$$

$$- 2 (\alpha_{3} - 1) A_{3}^{*} + 2\alpha_{4} A_{4}^{*} + 2\alpha_{3} \alpha_{4} A_{5}^{*},$$

$$H_{9} = H + C_{1}^{*} + M_{1}^{2} C_{2}^{*} + 2M_{1} C_{3}^{*}.$$
(21)

Table 1: The MSE and PRE of the different estimators with respect to t_0 .

Estimators	MSE	PRE
t_0	0.1267	100
t_1	0.1241	103
t_1^*	0.1251	101
$t_{2(\text{opt})}$	0.0586	217
$t_{2(\text{opt})}$ $t_{2(\text{opt})}^*$	0.0859	147
t_3	0.0543	233
t_4	0.0479	265
$t_{(opt)}$	0.0451	281
$t_{(\mathrm{opt})} \ t_{(\mathrm{opt})}^*$	0.0774	164

5. Numerical Illustration

In this section, we illustrate the performance of various estimators of the population variance, S_y^2 , by considering the data about Y: output, X: number of workers, and Z: fixed capital, given in Murthy [16]. The data summary is briefly presented as follows:

$$N=80, \qquad n=10, \qquad \lambda_{400}=2.2667,$$

$$\lambda_{040}=3.6500, \qquad \lambda_{004}=2.8664,$$

$$\lambda_{220}=2.3377, \qquad \lambda_{202}=2.2208,$$

$$\lambda_{022}=3.1400.$$
 (22)

The MSE and percent relative efficiency (PRE) of various estimators of S_y^2 , with respect to the conventional estimator, t_0 , have been computed and presented in Table 1. Note that, for the calculation of the MSE of t^* , we take n'=25 and also note that the minimum MSE of t_2 and t_2^* is obtained using $\text{MSE}_{\min}(t_2) = S_y^4(C_1 - C_3^2/C_2)$ and $\text{MSE}_{\min}(t_2^*) = S_y^4(D_1 - D_3^2/D_2)$.

Table 1 reveals that the suggested estimator t has the smallest MSE and thus the highest PRE among other estimators considered in this study. The suggested estimator in TPS t^* also provides a sufficient improvement in variance estimation compared to the existing ones $(t_1^* \text{ and } t_2^*)$. It is also observed from Table 1 that the TPS estimators are less efficient than their corresponding.

6. Conclusion

We have developed a new estimator for estimating the finite population variance under SRSWOR, which is found to be more efficient than the traditional estimator, Isaki [1] ratio estimators (using single and double auxiliary variables), Gupta et al. [14] estimator, and Singh et al. [8] estimator when certain conditions, as outlined in Section 4, are satisfied. This theoretical inference is also supported by the result of an application with original data. In future, we hope to extend the estimators suggested here for the development of a new estimator in the stratified random sampling.

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

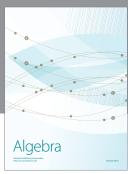
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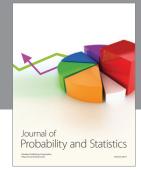
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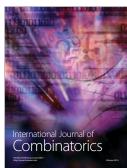














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